

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(e))**

Docket No.

700725-1002

In Re Application Of: **Doug A. Kaufmann**

DEC 22 2003

Serial No.

10/674,145

Filing Date

September 29, 2003

Examiner

Group Art Unit

Title:

METHOD OF TREATING AND PREVENTING CANCER

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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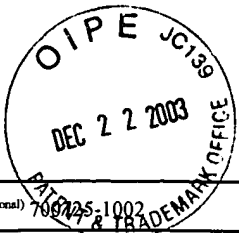
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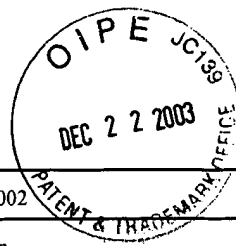
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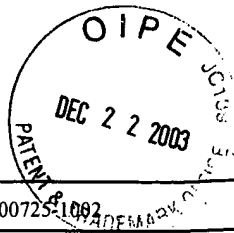
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional) 706725-1002		Application Number 10/674,145		
				Applicant(s) Doug A. Kaufmann				
				Filing Date September 29, 2003		Group Art Unit		
U.S. PATENT DOCUMENTS								
*Examiner Initial	Ref	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	AA	6,207,703	March 27, 2001	Jens Ponikau	514	460	October 22, 1998	
	AB	20030091510	May 15, 2003	Jens Ponikau	424	46	February 8, 2000	
FOREIGN PATENT DOCUMENTS								
	Ref	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AC	A.V. Costantini, M.D., Heinrich Wieland, M.D., Lars I. Qvick, M.D., <i>Fungalbionics The Fungal/Mycotoxin Etiology of Human Disease Volume II Cancer</i> , entire book; copyright 1994 published by Johann Friedrich Oberlin						
	AD	CA: <i>A Cancer Journal for Clinicians</i> , July/August 2002, Vol. 52/ No. 4; entire book; published by Lippincott Williams & Wilkins						
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	AE	A.V. Costantini, M.D., Heinrich Wieland, M.D., Lars I. Qvick, M.D., <i>Fungalbionic® Series The Fungal/Mycotoxin Etiology Of Human Disease; Etiology and Prevention of Prostate Cancer Hope at Last</i> , entire book; copyright 1998/1999 published by Johann Friedrich Oberlin Verlag	
	AF	A.V. Costantini, M.D., Heinrich Wieland, M.D., Lars I. Qvick, M.D., <i>Fungalbionic® Series The Fungal/Mycotoxin Etiology Of Human Disease; Etiology and Prevention of Atherosclerosis, Heart Attacks - Strokes, Peripheral Vascular Disease, Hope at Last</i> , entire book; copyright 1998/1999 published by Johann Friedrich Oberlin Verlag	
	AG	A.V. Costantini, M.D., Heinrich Wieland, M.D., Lars I. Qvick, M.D., <i>Fungalbionic® Series The Fungal/Mycotoxin Etiology Of Human Disease; The Garden of Eden Longevity Diet</i> , entire book; copyright 1998 published by Johann Friedrich Oberlin Verlag	
	AH	A.V. Costantini, M.D., Heinrich Wieland, M.D., Lars I. Qvick, M.D., <i>Fungalbionic® Series The Fungal/Mycotoxin Etiology Of Human Disease; Prevention of Breast Cancer, Hope at Last</i> , entire book; copyright 1998 published by Johann Friedrich Oberlin Verlag	
	AI	<i>Fungazette "Your Key to Good Health"</i> , Vol. 2, No. 5; copyright 2000 published by Mediatriation	
	AJ	<i>Increasing Evidence that the "Transformed" Yeast or Mold Microorganism Is the Etiological Factor Behind the Pathogenesis of Cancerous Tissue</i> , Milton W. White, M.D., F.I.C.S., International Surgery, Section 1, Volume 48, Number 5, November 1967, pages 417-424	
	AK	<i>The Reducing Activity of Cancerous Tissue and its Apparent Significance</i> , Milton W. White, M.D., reprinted from The Journal of Abdominal Surgery, October 1973, Volume 15, Number 10, pages 212-221	
	AL	<i>"Ascospore O", Oxidant, Host Assistance: The Pathway to Carcinogenesis</i> , Milton W. White, M.D., unknown date, pages 1-11	
	AM	<i>"Metabolism of the Malignant Cell, In Vivo, Is Anaerobic and Significantly Plays a Factor in the Pathway to Carcinogenesis"</i> , Medical Hypotheses, 1992, Vol 39, pages 323-333	
	AN	<i>Cancer: The Role of Oxygen in Fungal Induced Carcinogenesis</i> , Milton W. White, M.D., F.I.C.S., accepted for publication Medical Hypotheses Journal December 14, 1999, pages 1-4	
	AO	<i>Cancer Following Germ Injection</i> , Science-Supplement Science News, Science Service, Washington, D.C., Vol. 77, No. 1997, April 7, 1933	
	AP	<i>Letter to the Editor</i> , Milton W. White, Journal for the Society for Experimental Biology and Medicine, 227(6), June 2002, pages 432-433	
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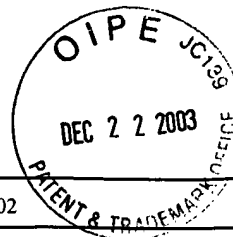


INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) 700725-1092	Application Number 10/674,145
		Applicant(s) Doug A. Kaufmann	
		Filing Date September 29, 2003	Group Art Unit

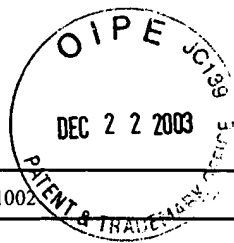
*Examiner Initial	Ref	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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	CF	<i>Goodman and Gilman's The Pharmacological Basis of Therapeutics</i> , Eighth Edition, copyright 1990 Pergamon Press, Inc., pages 1178-1179
	CG	<i>II. Antifungal Agents</i> , The Pharmacological, 1975, 5 th Edition, pages 1235-1236
	CH	<i>Induction of unscheduled DNA synthesis in primary human urothelial cells by the mycotoxin ochratoxin A</i> , Dorrenhaus A; Flieger A; Golka K; Schulze H; Albrecht M; Degen GH; Follmann W, February 2000, page 1
	CI	<i>Aflatoxins</i> , Bad Bug Book, April 4, 2001, page 1-3
	CJ	<i>Cyclosporin A (Ciclosporin) CAS No. 59865-13-3</i> , First listed in the Eighth Report on Carcinogens, Pages 1-3
	CK	<i>Aflatoxin Showing in So. Ill., Iowa & Ohio Corn</i> , Roger Bernard, AgWeb.com, September 13, 2002, Pages 1-3
	CL	<i>Mycotoxins in rice 'low or non-detectable'</i> , Food Standards Agency, April 10, 2002, Pages 1-2
	CM	<i>Aflatoxin Shows up in Kansas</i> , Julianne Johnston, AgWeb.com, September 19, 2002, Page 1
	CN	<i>Alcoholic Beverage Consumption</i> , Ninth Report on Carcinogens, unknown date, Pages 1-3
	CO	<i>Myco-Ad Main Index</i> , Myco-Ad® Website, History and Questions and Answers, November 27, 2002, Pages 1-3
	CP	<i>Poultry Clinical Mycotoxicosis (Borrell 1989)</i> , Clinical Mycotoxicosis, November 27, 2002, Pages 1-3
	CQ	<i>Mycotoxins and Mycotoxin Test Kits</i> , Department of Botany Plant Pathology website at Purdue University, printed February 11, 2003, Pages 1-11

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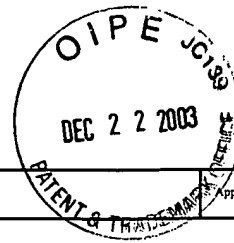
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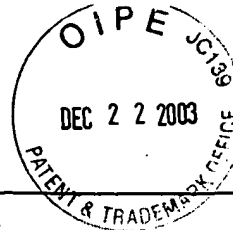
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	CS	Illinois issues aflatoxin warning, Agriculture Online, printed February 24, 2003, Page 1	
	CT	Aflatoxin, Tobacco, Ammonia and the p53 Tumor-Suppressor Gene: Cancer's Missing Link?, Kerry Scott Lane, MD, Medscape Online, August 30, 1999, Pages 1-3	
	CU	Advances in Experimental Medicine and Biology, HP Van Egmond, from NIH/NLM MEDLINE, unknown date, Pages 1-2	
	CV	1. The Neglected Mycoses, The Fungus Fighters, copyright 1981 by Cornell University Press, Pages 23-35	
	CW	Coccidioidomycosis in Travelers Returning from Mexico - Pennsylvania, 2000, Morbidity & Mortality Weekly Report (MMWR), CBS HealthWatch website, printed June 26, 2001, Pages 1-2	
	CX	Fungal Infections Pose Danger, Mary Ann Hellinghausen, Nurseweek and Healthweek, April 22, 1996, Pages 1-2	
	CY	Blastomycosis Outbreaks in Wisconsin Attract CDC Attention, Morbidity and Mortality Weekly Report, Federal Centers for Disease Control and Prevention, July 19, 1996, Pages 1-2	
	CZ	Chest film findings may mimic P. carinii pneumonia, Opportunistic fungal infections: Cryptococcosis, Carol A. Kauffman, M.D., The Journal of Respiratory Diseases, Vol. 16, No. 12, December 1995, Pages 1037, 1074-1076 and 1079	
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	DC	Encephalitis, E coli in New York, Resistant Staph in Midwest, GI Fungal Infection in Arizona, Infections in Medicine, unknown date, Page 1	
	DD	Gastrointestinal basidiobolomycosis in Arizona: clinical and epidemiological characteristics and review of the literature; Medscape Medline Abstract, printed October 11, 2001, Page 1	
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	DF	Pleuritic Chest Pain May Signal Invasive Disease Opportunistic Infections: The Filamentous Fungi, Carol A. Kauffman, M.D., fax dated July 17, 1996, Pages 1-6 (unnumbered)	
	DG	An Unusual Outbreak of Windborne Coccidioidomycosis, Neil M. Flynn, M.D., et al., The New England Journal of Medicine, August 16, 1979, Pages 358-361	
	DH	Aspergillosis and Other Systemic Mycoses; The Growing Problem, David W. Fraser, MD, et al., JAMA October 12, 1979, Vol. 242, No. 15, Pages 1631-1635	
	DI	Coccidioidomycosis Pulmonary Infection, Jiejian Lin, MD and Richard J. Hamill, MD, copyright 2001 by Current Science Inc., Pages 275-278	
	DJ	Coccidioidomycosis in New York State, Vishnu Chaturvedi, et al., Medscape Online, printed June 26, 2001, Pages 1-7	
	DK	Antifungal Agents, Nystatin The Pharmacological, copyright 1965, The Macmillan Company, Pages 1293-1294	
	DL	Epidemiology and Prevention of Invasive Aspergillosis, David W. Warnock, PhD, et al., copyright 2001 by Current Science, Inc., Pages 507-516	
	DM	Skin lesions may be a valuable clue to the diagnosis Nonresolving pneumonia: Is endemic mycosis to blame?, The Journal of Respiratory Diseases, Vol. 16, No. 11, November 1995, Pages 1008-1010, 1013-1014, 1017-1018, 1020 and 1024	
	DN	Coccidioidomycosis Pulmonary Infection, Jiejian Lin, MD, et al., copyright 2001 by Current Science, Inc., Pages 274-278	
	DO	Family Physician Edition, Medical Tribune, January 23, 1997, Vol. 38, No. 2, entire issue	
	DP		
	DQ		
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	AR	Cancer is a Hybrid Produced by a Relationship Between a Plant Bacterium and a Mammalian Cell: An Original Concept, Medical Hypotheses, Churchill Livingstone, Vol. 7, No. 4, April 1981, pages 433-454	
	AS	A specific Oxidant is the Prime Factor in Cancer Cells' Origin and Growth, Medical Hypotheses, M.W. White (1994) Vol. 42, pages 313-317	
	AT	Metabolism of the Malignant Cell, the Role of Bacterial Spores, and a Pictorial Presentation to Substantiate the Latter's Presence as an Etiological Factor in Carcinogenesis, Medical Hypotheses, M.W. White (1992) Vol. 39, pages 95-109	
	AU	The Origin and Spread of Human Leukemia, A. Islam, Medical Hypotheses (1992), Vol. 39, page 110	
	AV	The Specific Oxidant and Cancer, A Critical Relationship That Must Be Scientifically Awakened, M.W. White, M.D., F.I.C.S., date unknown, pages 1-15	
	AW	Plant Bacterial Spores, Active Systemically as a Separate Entity, Play a Significant Role in Human Illnesses Such as Cancer, Granulomas, AIDS, and Milky White Abdominal Ascites That Currently Defies Recognition, M.W. White, Medical Hypotheses (1995), Vol. 44, pages 493-503	
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	AZ	Fungus Implicated as Cause of Chronic Sinusitis, Mayo Clinic Proceedings/Medscape Wire, September 9, 1999, pages 2-3	
	BA	Why physicians do not know about fungi: conspiracy or candor Or: "You can't handle the truth!", unknown date	
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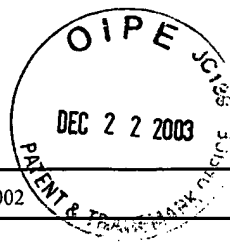


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	BF	<i>Epidemiology Estimates of the world-wide prevalence of cancer for 25 sites in the adult population</i> , Paola Pisani*, Freddie Bray, D. Maxwell Parkin, Wiley InterScience Article Abstract, May 22, 2003, pages 1-2
	BG	<i>Twelve Major Cancers</i> , Scientific American, September 1996, pages 126-132
	BH	<i>Poisoning, Toxicology, Symptoms, Treatments</i> , Fifth Edition, Charles C. Thomas Publisher, 1986, pages 955-956
	BI	<i>Comprehensive Cytopathology</i> , Second Edition, copyright 1997 by W.B. Saunders Company, pages 583-585
	BJ	<i>Zinsser microbiology</i> , copyright 1988 by Appleton & Lange, pages 130-131
	BK	<i>Aflatoxin, Tobacco, Ammonia and the p53 Tumor-Suppressor Gene: Cancer's Missing Link?</i> , Kerry Scott Lane, MD, August 30, 1999, Medscape, pages 1-3
	BL	<i>Scientist Warns Against Cancer 'Breakthrough' Hype</i> , Richard Woodman, Yahoo News, May 23, 2003, page 1
	BM	<i>DNA damage by mycotoxins</i> , Copyright 1999 Elsevier Science B.V., Medscape from WebMD, January 3, 2003, page 1
	BN	<i>New Statistics Show Increase In Cancer Rates</i> , Sharon Begley, October 16, 2002, pages B1 and B14
	BO	<i>New Method Looks at Cancer Survival</i> , Emma Ross, Yahoo News, October 10, 2002, pages 1-2
	BP	<i>Most Women with Breast Cancer Do Not Have Family History</i> , American Cancer Society, November 1, 2001, pages 1-3
	BQ	<i>HRT may interfere with mammogram clarity</i> , Jacqueline Stenson, Medical Tribune Women's Health, July 18, 1996, page 25

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	BS	<i>Radiation-induced sarcoma of the breast</i> , Richard W. Mason, DO, Glennon R. Einspanier, DO, Richard T. Caleel, DO, JAOA, Vol. 96, No. 6, June 1996, pages 368-370	
	BT	<i>Treatment of Fungal Infections Led to Leukemia Remissions</i> , The Medical Tribune, September 29, 1999, InteliHealth, pages 1-2	
	BU	<i>High-fat diet may not increase risk of breast-cancer; carbohydrates cited</i> , Medical Tribune, Jacqueline Stenson, June 20, 1996, Vol. 37, #12	
	BV	<i>Association with other Research Groups</i> , Wuerthele-Caspe Livingston, v. Cancer: A New Breakthrough, 1972, Nash Publishing Los Angeles, pages 45-49	
	BW	<i>Squamous Cell Carcinoma</i> , Clinical Dermatology, Mosby Year Book, Inc., Third Edition, copyright 1990, page 666	
	BX	<i>The Discovery of the Cancer Microbe, III, A Betrayal Closes the Lab</i> , The Conquest of Cancer, pages 72-75	
	BY	<i>Elevated Serum CA 19-9 Level and Regional Lymphadenopathy in a Young Man with Allergic Bronchopulmonary Aspergillosis</i> , Tsutomu Hachiya, Sekiya Koyama, Keishi Kubo*, Morie Sekiguchi* and Takayuki Honda**, Internal Medicine, Vol. 37, No. 1 (January 1998), pages 91-93	
	BZ	<i>Pathway to Carcinogenesis: The Role of Bacterial Spores</i> , M.W. White, Medical Hypotheses, Churchill Livingstone, Vol. 35, No. 4, August 1991, pages 279-287	
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	CB	<i>Familial breast cancer: collaborative reanalysis of individual data from 52 epidemiological studies including 58 209 women with breast cancer and 101 986 women without the disease</i> , The Lancet, Vol. 358, October 27, 2001, pages 1389 and 1398	
	CC	<i>Bibliography of Otto Warburg</i> , unknown date, page 1	
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